



## CHECKLIST FOR ORIGINAL LISTING APPLICATION

Company Name: \_\_\_\_\_

### GENERAL

\_\_\_\_\_ Listing Application

\_\_\_\_\_ Listing Agreement

\_\_\_\_\_ Officers and Directors Worksheet

\_\_\_\_\_ Corporate Governance Certification Form

\_\_\_\_\_ Underwriter's Letter (for Initial Public Offerings)

\_\_\_\_\_ SEC Form \_\_\_\_ (8-A, 10, 40-F, 20-F)

\_\_\_\_\_ Other: \_\_\_\_\_